

CPD/CPT Training Schedule

Date & Time	Organisation	Series / Course Title	Course Code	CPT/CPD Hours				Fees		Links
				SFC	MPFA Core	MPFA Non-Core	OCI	Respective Membership	Public	
30/3/2015 19:00 - 21:00	HKSI	Wealth Management Series Unit Trusts and Investment Funds	CPWP001081502	2	0	2	0	HK\$400	HK\$620	Enroll
31/3/2015 18:30 - 21:30	HKSI	Regulatory and Compliance Series SFO Series Requirements for Back Office Operations	TCRX024011501	3	0	3	0	HK\$750	HK\$1,080	Enroll
1/4/2015 19:00 - 21:00	HKSI	Wealth Management Series Fixed Income Investments	CPWP001021502	2	0	2	0	HK\$400	HK\$620	Enroll
13/4/2015 18:30 - 20:30 & 14/4/2015 18:30 - 21:30	HKSI	Regulatory and Compliance Series Overview of Legal and Regulatory Framework for Securities and Futures Industry	TCRX051011504	5	0	5	5	HK\$1,000	HK\$1,550	Enroll
13/4/2015 19:00 - 21:00 & 20/4/2015 19:00 - 21:00	HKSI	Wealth Management Series Alternative Investments	CPWP001071502	4	0	4	0	HK\$800	HK\$1,240	Enroll
15/4/2015 19:00 - 21:00	HKSI	Wealth Management Series Equity Securities	CPWP001031502	2	0	2	0	HK\$400	HK\$620	Enroll
15-04-2015 14:15 - 18:15	PIBA	Life Insurance Series Retirement Products	PE4200077	4	0	4	4	HK\$220	HK\$360	Enroll
23/4/2015 18:30 - 21:00 & 28/4/2015 18:30 - 21:00	HKSI	Regulatory and Compliance series Investment sales Compliance	TCRX022011501	5	0	5	0	HK\$1,250	HK\$1,800	Enroll
27/4/2015 18:30 - 20:30 & 30/4/2015 18:30 - 20:30	HKSI	Wealth Management Series Topic 11 - portfolio Management Theories and Practices	CPWP001111501	4	0	4	0	HK\$800	HK\$1,240	Enroll
28/4/2015 13:15 - 18:15	PEAK	Certificate Course in Legal Liability Part 1 - Negligence and Vicarious Liability	701 E3	5	0	5	5	N/A	HK\$450	Enroll
28-04-2015 16:30 - 18:30	CIB	Underwriting policy and practice	2804(II)	0	0	0	2	n/a	HK\$350	Enroll

CPD/CPT Training Schedule

6/5/2015 18:30 - 21:30	HKSI	Fixed Income and Debt Series Understanding Interest rate Swaps	TFDX01601 1501	3	0	3	0	HK\$750	HK\$1,080	Enroll
12/5/2015 18:30 - 21:30 & 14/5/2015 18:30 - 21:30	HKSI	Regulatory and Compliance Series Overview of the New Sponsor Regulatory Regime and Practice (For Representatives)	TCRX085011 501	6	0	6	0	HK\$1,500	HK\$2,160	Enroll
12/5/2015 18:30 - 21:30	HKSI	Derivatives Series Derivatives Strategy: The Use of Interest Rate Swap and Currency Swap and Futures Development	TCRX057011 501	3	0	3	0	HK\$1,050	HK\$1,500	Enroll
14/5/2015 18:30 - 20:30 & 15/5/2015 18:30 - 21:30	HKSI	Regulatory and Compliance Series Overview of Legal and Regulatory Framework for Securities and Futures Industry	TCRX051011 505	5	0	5	0	HK\$1,000	HK\$1,550	Enroll
15/5/2015 18:30 - 21:30	HKSI	Wealth Management Series Topic 12 - Behavioural Finance	CPWP00112 1501	3	0	3	0	HK\$600	HK\$930	Enroll
19/5/2015 18:30 - 21:30	HKSI	Wealth Management Series Topic 10 Wealth Planning	CPWP00110 1501	3	0	3	0	HK\$600	HK\$930	Enroll
19/5/2015 18:30 - 21:00	HKSI	Regulatory and Compliance Series Insider Dealing	TCRX026011 501	2.5	0	2.5	0	HK\$625	HK\$900	Enroll
20/5/2015 18:30 - 20:30	HKSI	Wealth Management series Topic 1 - overview of PWM Industry, Products and Services	CPWP00101 1501	2	0	2	0	HK\$400	HK\$620	Enroll
27/5/2015 18:30 - 21:30	HKSI	Wealth Management Series Topic 13 - Mainland China and Asia-Pacific and PWM Markets	CPWP00113 1501	3	0	3	0	HK\$600	HK\$930	Enroll